

### UTILITY Attorney Docket No. CRD-0931 CIP PATENT APPLICATION First Inventor Robert Falotico et al. TRANSMITTAL Title COMPOUND AND DELIVERY DEVICE FOR INHIBITINGCONSTRICTIVE VASCULAR REMODELING (only for new nonprovisional applications under 37 CFR Express Mail Label No. EV 313407414 US **APPLICATION ELEMENTS** ADDRESS TO: Mail Stop Patent Application Commissioner for Patents See MPEP Chapter 600 concerning utility patent application P.O. Box 1450 contents. Alexandria, VA 22313-1450 1. Tee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 3. X Specification [Total Pages 30] 8. Nucleotide and/or Amino Acid Sequence (Preferred arrangement set forth below) Submission (if applicable, all necessary) - Descriptive Title of the Invention a. Computer Readable Form (CRF) - Cross Reference to Related Applications b. Specification Sequence Listing on: - Statement Regarding Fed sponsored R&D i. CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a ii. $\square$ paper computer program listing appendix c. Statement verifying identity of above copies - Background of the Invention - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Description of the Drawings (if filed) ☐ Assignment Papers (cover sheet & document(s)) - Detailed Description 10. 37 CFR 3.73(b) Statement Power of Attorney - Claim(s) (when there is an assignee) - Abstract of the Disclosure 11. English Translation Document (if applicable) 12. Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations 4. Drawing(s)(35 USC 113) [Total Sheets2] [Total Pages3] 13. Preliminary Amendment 5. Oath or Declaration (unexec.) 14. Return Receipt Postcard (MPEP 503) a. Newly executed (original or copy) (Should be specifically itemized) b. Copy from a prior application (37 CFR 1.63(d)) 15. Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) (if foreign priority is claimed) i. DELETION OF INVENTOR(S) 16. Request and Certifications under 35 U.S.C. 122 Signed statement attached deleting (b)(2)(B)(i). Applicant must attach form inventor(s) named in the prior application, PTO/SB/35 or its equivalent. see 37 CFR 1.63(d)(2) and 1.33(b). 17. Other 6. Application Data Sheet. See 37 CFR 1.76 18. ☑ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP) of prior application No.: 09/850,293, filed May 7, 2001. Prior application information: Examiner Kathryn P. Odland Group Art Unit: 3743 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying

continuation or divisional application and is hereby inco	rporated by reference. The incorporation can only be				
elied upon when a portion has been inadvertently omitted from the submitted application parts.					
19. CORRESPONDENCE ADDRESS					
Customer Number or Bar Code Label 000027777	or Correspondence Address below				
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20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to Carl J. Evens at: Telephone: (732) 524-2518 Fax: (732) 524-2808

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Reg. No. 33874 NAME Carl J. Evens **SIGNATURE** April 21, 2004 DATE

# FEE TRANSMITTAL Application Number Filing Date First Named Inventor Group Art Unit Examiner Name Attorney Docket Number Complete if Known April 21, 2004 Robert Falotico et al. CRD-0931 CIP

# **FEE CALCULATION**

### **CLAIMS AS FILED**

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$770.00
TOTAL CLAIMS	14 - 20 =	0	x 18.00	\$ 0.00
INDEPENDENT CLAIMS	2 - 3 =	0	x 86.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS		N/A	\$290.00	
		TOTAL FEES	\$ 770.00	

# **METHOD OF PAYMENT**

- Please charge Deposit Account No. 10-0750/CRD-0931 CIP/CJE in the amount of \$770.00. Three copies of this sheet are enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/CRD-0931 CIP/CJE. Three copies of this sheet are enclosed.

SUBMITTED BY:		Complete (if applicable)	
Typed or Printed Name	Carl J. Evens		Reg. No. 33,874
Signature	hell	Date: 04/21/04	Deposit Account No. 10-0750

DOCKET NO. CRD-0931 CIP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Robert Falotico, Gregory A. Kopia,

and Gerard H. Llanos

For : COMPOUND AND DELIVERY DEVICE FOR INHIBITING

CONSTRICTIVE VASCULAR REMODELING

# Express Mail Certificate

"Express Mail" mailing number: EV 313407414 US

Date of Deposit:

April 21, 2004

I hereby certify that this complete continuation-in-part application, including utility patent application and fee transmittal pages, specification pages, claims, drawings and unexecuted combined Declaration and Power of Attorney is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

An executed Combined Declaration and Power of Attorney and an executed Assignment will be submitted to the United States Patent and Trademark Office upon receipt of the U.S. Serial Number for this patent application.

Laurie Phillips
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)